



Atty. Dkt. No. 016912-0202

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Masato SAITO et al.  
Title: HEAT-EVOLVING COSMETICS  
Appl. No.: 10/009,591  
Filing Date: 12/11/2001  
Examiner: L. Wells  
Art Unit: 1617

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

05/07/2004 MGBREM1 00000020 10009591

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**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is being filed after the mailing date of either the final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application. Accordingly, pursuant to 37 CFR §1.97(d), a statement and fee are required.

**RELEVANCE OF EACH DOCUMENT**

The foregoing documents came to Applicants' attention during a search of the corresponding European application. A copy of the European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

**FEE**

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

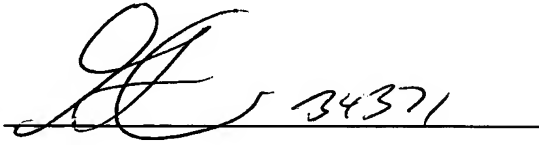
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to

Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 6, 2004

By

A handwritten signature in black ink, appearing to be 'RS' followed by a flourish, written over a horizontal line. To the right of the signature, the number '34371' is handwritten.

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MAY 06 2004

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

number.

Information for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Date Submitted: May 6, 2004

(use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number	10/009,591
Filing Date	12/11/2001
First Named Inventor	Masato SAITO
Group Art Unit	1617
Examiner Name	L. Wells
Attorney Docket Number	016912-0202

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	5,747,004		GIANI ET AL.	05-05-1998	
	A2	4,187,287		SCHREIBER ET AL.	02-05-1980	
	A3	4,159,316		JANUSZEWSKI ET AL.	06-26-1979	
	A4	4,132,771		SCHREIBER ET AL.	01-02-1979	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A5	WO	93/08793	A1	THE BOOTS COMPANY PLC	05-13-1993		
	A6	GB	1 357 000		BRITISH-AMERICAN TABACCO COMPANY LIMITED	06-19-1974		
	A7	EP	0 974 340	A2	KAO CORPORATION	01-26-2000		
	A8	WO	01/12150	A1	UNILEVER PLC, ET AL.	02-22-2001		
	A9	WO	01/08654	A1	UNILEVER PLC, ET AL.	02-08-2001		
	A10	EP	0 673 881	A1	KABUSHIKI KAISHA KAISUI KAGAKU KENKYUJO	09-27-1995		

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A11	Patent Abstracts of Japan, Vol. 018, No. 651, 9 December 1994, JP 6-256173, 13 September 1994.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIFO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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